

11/10/2003 09:47 FAX 303 740 6962

B S T & Z

RECEIVED  
CENTRAL FAX CENTER

NOV 17 2003

OFFICIAL

**BLAKELY  
SOKOLOFF  
TAYLOR &  
ZAFMAN**  
A LIMITED LIABILITY  
PARTNERSHIP INCLUDING  
LAW CORPORATIONS

8055 East Tufts Avenue, Suite 1300  
Denver, Colorado 80237-2835  
(303) 740-1980 - Phone  
(303) 740-6962 - Fax

**FACSIMILE TRANSMITTAL SHEET**

**Deliver to:** Examiner Bradley L. Sisson, Art Unit 1634

**Firm Name:** US Patent and Trademark Office

**Fax Number:** (703) 746-5020

**From:** Richard Nakashima

**Date:** November 10, 2003

**Operator:** Jennifer

**Application:** 09/935,998

**Number of pages including cover sheet:** 9

**Message:**

Attached please find a copy of associate power of attorney for me, along with a Revocation and Power of Attorney filed on 8/23/01 for BSTZ. My name was not on the original revocation and POA, as I had joined the firm shortly before that date. We also enclose FYI a copy of an assignment filed on 12/9/02, assigning the instant application from GeneType AG to Genetic Technologies Limited. Dr. Jacobson is the Executive Chairman of Genetic Technologies, Limited, which is the assignee of 100% interest in the instant application.

**CONFIDENTIALITY NOTE**

The documents accompanying this facsimile transmission contain information from the law firm of Blakely Sokoloff Taylor & Zafman LLP that is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

**IF YOU EXPERIENCE ANY DIFFICULTY IN RECEIVING THE ABOVE PAGES,  
PLEASE CALL (303) 740-1980 AND ASK FOR THE OPERATOR NAMED ABOVE.**

11/10/2003 09:46 FAX 303 740 6962  
11/08/2003 15:19 FAX 9704846698  
11/07/2003 11:23 FAX 303 740 8962

B S T & Z  
STUVEPNAIME  
B S T & Z

0002  
0002/0002  
0002  
**RECEIVED**  
**CENTRAL FAX CENTER**

NOV 17 2003

Practitioner's Docket No: 005493.P001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**OFFICIAL**

In re Application of:

Malcolm J. Simons

Application No.: 09/935,998

Filed: August 23, 2001

For: Intron Sequence Analysis Method for  
Detection of Adjacent and Remote  
Locus Alleles as Haplotypes

Examiner: Sisson, Bradley L.

Art Unit: 1634

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

**ASSOCIATE POWER OF ATTORNEY (37 C.F.R. 1.34)**

Please recognize as Associate Practitioner in this case:

Name of practitioner: Richard A. Nakashima

Address: 12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, CA 90025-1030

Reg. No. 42, 023

Telephone No. (303) 740-1980

NOTE: Correspondence will be held with the associate attorney, unless the principal attorney directs otherwise. MPEP 403.01.

NOTE: An associate attorney may not appoint another attorney. MPEP 402.02, 6<sup>th</sup> ed.

Date NOVEMBER 8<sup>th</sup>, 2003

  
Signature of Executive Chairman

Mervyn Jacobson

Name

90. 9050 OLD FLAMING RD.  
Address

BELLVUE, CALIFORNIA 90712

11/10/2003 09:48 FAX 303 740 6962

B S T & Z

0003

08/23/2001 12:49 FAX 303 740

B S T & Z

0002

RECEIVED  
CENTRAL FAX CENTER

NOV 17 2003

Attorney Docket No. 05493.P001

PATENT

OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Simons

Group Art Unit: Unknown

Application No. Unassigned

Examiner: Unknown

Filed: Concurrently

For: INTRON SEQUENCE ANALYSIS  
METHOD FOR DETECTION OF  
ADJACENT AND REMOTE LOCUS  
ALLELES AS HAPLOTYPES

Assistant Commissioner for Patents  
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE  
AND REVOCATION OF PREVIOUS POWERS

Genotype A.G. ("assignee"), a corporation of Switzerland, having a place of business at Neugasse 11, 6300 Zug, Switzerland, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent application and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

Docket No. 05493.P001

1

**Assignee has reviewed the assignment document that evidences the placement of title in the assignee and upon information and belief that assignment document was recorded in the U.S. Patent and Trademark Office on August 25, 1989, at reel 5117, frame 0612.**

Pursuant to 37 C.F.R. §§ 1.36 and 3.71, the assignee hereby revokes all powers of attorney previously given and appoints William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznek, Reg. No. 33,474; ~~Michael A. Bernadicon, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831;~~ R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Andrew C. Chen, Reg. No. 43,544; Thomas M. Coester, Reg. No. 39,637; Donna Jo Conlinsby, Reg. No. 41,684; Florin Corle, Reg. No. 46,244; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. 46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin Dillon, Reg. No. 42,486; Sanjeet Dutta, Reg. No. 46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; George Fountain, Reg. No. 37,374; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Libby N. Ho, Reg. No. 46,774; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; George Brian Leavell, Reg. No. 45,436; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Robert G. Litts, Reg. No. 46,876; Julio Loza, Reg. No. 47,758; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Chun M. Ng, Reg. No. 36,878;

Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Dennis A. Nicholls, Reg. No. 42,036; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 45,001; Marina Portnova, Reg. No. 45,750; William F. Ryann, Reg. No. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; George Simion, Reg. No. 47,089; Jeffrey Sam Smith, Reg. No. 39,377; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; John F. Travis, Reg. No. 43,203; ~~Joseph A. Twarowski, Reg. No. 42,491; Mark C. Van Ness, Reg. No. 39,865;~~

Tom Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Glenn E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Firasat Ali, Reg. No. 45,715; Justin M. Dillon, Reg. No. 42,486; Thomas S. Ferrill, Reg. No. 42,532; and Raul Martinez, Reg. No. 46,904, Brent E. Vecchia, Reg. No. 48,011, my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Pursuant to 37 C.F.R. § 3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).

11/10/2003 09:48 FAX 303 740 6962

B S T & Z

0006

08/23/2001 12:50 FAX 303 740 6962

B S T & Z

0005

Send all future correspondence to Michael A. DeSanctis,  
Reg. No. 39,957, Blakely, Sokoloff, Taylor, & Zafman LLP,  
12400 Wilshire Boulevard, Seventh Floor, Los Angeles, California 90025, and  
direct all telephone calls to the same at (303) 740-1980.

Assignee of Interest Genetype A.G.

Dated: August 23, 2001

By: David A. Cunningham  
Name: David A. Cunningham

Title: Company Secretary

Address of Assignee of Interest:  
Genetype A.G.  
Neugasse 11  
6300 Zug, Switzerland

Dated: 8/23/01

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

By: Michael A. DeSanctis  
Name: Michael A. DeSanctis

Reg. No.: 39,957

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, California 90025-1026  
(303) 740-1980

Docket No. 05423.P001

4



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

05493 P001  
Genetic Technologies Ltd.  
RAN

RECEIVED

APR 25 2003

APRIL 18, 2003

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
RICHARD A. NAKASHIMA  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES, CA 90025-1030

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

ENTERED

APR 25 2003

STATUS DB-LA



\*102314886A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/16/2002

REEL/FRAME: 013577/0720  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GENE TYPE A.G.

DOC DATE: 11/07/2002

ASSIGNEE:

GENETIC TECHNOLOGIES LIMITED  
60-66 HANOVER STREET  
FITZROY, VICTORIA 3065, AUSTRALIA

SERIAL NUMBER: 09935998  
PATENT NUMBER:

FILING DATE: 08/23/2001  
ISSUE DATE:

DOROTHY WILLIAMS, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

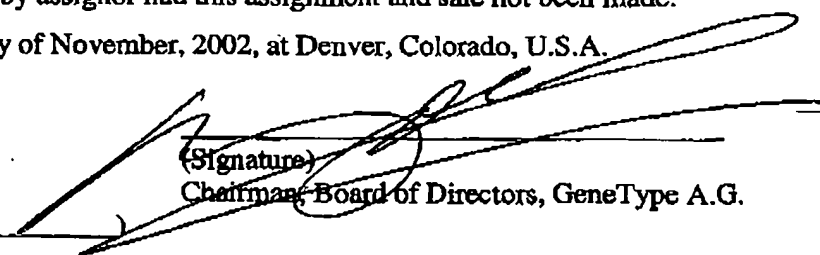
RECEIVED  
APR 28 2003

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP  
DENVER

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings		<b>RECORDATION FORM COVER SHEET</b> <b>PATENTS ONLY</b>		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies):  <p style="text-align: center;">GeneType A.G.</p> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies) Name: Genetic Technologies Limited Internal Address: _____  Street Address: 60-66 Hanover Street Fitzroy, Victoria 3065, Australia  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: November 7, 2002			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 09/935,998 Dated August 23, 2001 B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Richard A. Nakashima Internal Address: _____  Street Address: Blakely Sokoloff Taylor & Zafman 12400 Wilshire Boulevard, Seventh Floor City: Los Angeles State: CA Zip: 90025-1030			6. Total number of applications and patents involved: <span style="border: 1px solid black; padding: 0 5px;">1</span> 7. Total fee (37 CFR 3.41).....\$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: 02-2666		
<b>DO NOT USE THIS SPACE</b>					
9. Signature.  <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;"> <u>Richard A. Nakashima, Reg. No. 42,023</u>              Name of Person Signing           </div> <div style="text-align: center;">               Signature           </div> <div style="text-align: center;"> <u>December 9, 2002</u>              Date           </div> </div> <div style="text-align: center; margin-top: 5px;">             Total number of pages including cover sheet, attachments, and documents: <span style="border: 1px solid black; padding: 0 5px;">1</span> </div>					

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231



<b>ASSIGNMENT OF PATENT APPLICATION</b>	Docket Number: 005493.P001
<p>Whereas, <u>GeneType A.G. of Zug, Switzerland</u>, hereinafter referred to as "assignor" owns the entire right, title and interest in a United States Patent Application for an improvement in <u>INTRON SEQUENCE ANALYSIS METHOD FOR DETECTION OF ADJACENT AND REMOTE LOCUS ALLELES AS HAPLOTYPES</u>, Patent Application No. <u>09/935,998</u>, filing date <u>August 23, 2001</u>; and,</p> <p>Whereas, <u>Genetic Technologies Limited, of Fitzroy, Australia</u>, hereinafter referred to as "assignee" whose mailing address is <u>60-66 Hanover Street, Fitzroy, Victoria 3065</u> City of <u>Fitzroy</u> and Country of <u>Australia</u> is desirous of acquiring the entire right, title and interest in the same;</p> <p>In consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, the assignor, by these presents does sell, assign and transfer unto said assignee the entire right, title and interest in and to the said Patent aforesaid; effective as of January 1, 2002; the same to be held and enjoyed by the said assignee for its own use and benefit, and for its legal representatives and assigns, to the full end of the term for which said Patent is granted, as fully and entirely as the same would have been held by assignor had this assignment and sale not been made.</p> <p>Executed this <u>7<sup>th</sup></u> day of November, 2002, at Denver, Colorado, U.S.A.</p> <p style="text-align: center;"> (Signature) Chairman, Board of Directors, GeneType A.G.</p> <p>State of _____ County of _____) ss: Before me personally appeared _____ and acknowledged the foregoing instrument to be his free act and deed this ____ day of November, 2002.</p> <p>SEAL</p> <p style="text-align: right;">_____ Notary Public My Commission Expires: _____</p>	

PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.


**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

U.S. Patent and Trademark Office, Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Highway, Suite 320  
Washington, D.C. 20231

on December 9, 2002.

Date



Signature

Lori E. True

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Assignment with Cover Sheet;
2. Fee Transmittal in duplicate;
3. Check in the amount of \$40.00; and
4. Return Postcard.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.